

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

OHA-0002

First Inventor

Kagemoto TOHYAMA

Title

SOFTWARE LICENSING SYSTEM

Express Mail Label No.

J1021 U.S. PTO

10/016106

12/17/01

**APPLICATION ELEMENTS**

See MPEP Chapter 600 concerning utility patent application contents

**ADDRESS**

TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification  
(preferred arrangement set forth below)  
- Descriptive title of the Invention  
- Cross References to Related Applications  
- Statement Regarding Fed sponsored R&D  
- Reference to sequence listing, a table or a computer program listing appendix  
- Background of the Invention  
- Brief Summary of the Invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) Total Sheets **14**
5. ☒ Oath or Declaration Total Pages **2**  
a. ☐ Newly Executed (original or copy)  
b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(For continuation/divisional with Box 17 completed)  
1 ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application
6. ☐ Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)  
a. ☐ Computer Readable Form (CRF)  
b. Specification Sequence Listing on:  
i. ☐ CD-ROM or CD-R (2 copies)  
ii. ☐ Paper  
c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☐ Assignment Papers
10. ☐ 37 CFR 3.73(b) Statement (when there is no assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if Available)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Other:

**17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information**☐ Continuation☐ Divisional☐ Continuation-in-part (CIP)

of prior application No:

**18. CORRESPONDENCE ADDRESS**

Customer Number or Bar Code Label

23353

or



Correspondence address below

(Insert Customer No. or Attach bar code label here)

NAME

Carl Schaukowitch  
RADER, FISHMAN & GRAUER, PLLC

ADDRESS

1233 20<sup>th</sup> Street, NW, Suite 501,

CITY

Washington

STATE

DC

ZIP CODE

20036

COUNTRY

United States of America

PHONE

(202) 955-3750

FAX

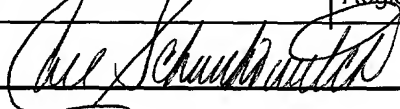
(202) 955-3751

Name (Print/type)

Carl Schaukowitch

Registration No. 29,211

Signature



Date

December 17, 2001

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# **FEE TRANSMITTAL** **for FY 2000**

Patent fees are subject to annual revision.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SBO/09-12.

**TOTAL AMOUNT OF PAYMENT** (\$ 594.00)

## **Complete if Known**

Application No. \_\_\_\_\_  
Filing Date December 17, 2001  
First Named Inventor Kagemoto TOHYAMA  
Group Art Unit \_\_\_\_\_  
Examiner Name \_\_\_\_\_  
Attorney Docket Number OHA-0002

### **METHOD OF PAYMENT (check one)**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 18-0013  
Deposit Account Name Rader, Fishman and Grauer PLLC

- ☒ Charge Any Additional  
Fee Required Under  
37 CFR 1.16 and 1.17

2. ☐ Payment Enclosed:

☐ Check ☐ Money Order ☐ Other

### **FEE CALCULATION**

#### **1. BASIC FILING FEE**

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
101	201	740	Utility filing fee	370
106	206	330	Design filing fee	
107	207	510	Plant filing fee	
108	208	740	Reissue filing fee	
114	214	160	Provisional filing fee	

**SUBTOTAL (1)** (\$ 370)

#### **2. EXTRA CLAIM FEES**

Total Claims	Extra	Fee from below	Fee Paid
10	-20=	0	0
Independent Claims	5	-3=	2
Multiple Dependent			140

\*\*or number previously paid, if greater; For Reissues, see below.

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee Description
103	203	18	Claims in excess of 20
102	202	84	Independent claims in excess of 3
104	204	280	Multiple dependent claim, if not paid
109	209	84	**Reissue independent claims over original patent
110	210	18	**Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)** \$224.00

### **3. ADDITIONAL FEES**

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
105	205	130	Surcharge - late filing fee or oath	
127	227	50	Surcharge - late provisional filing fee or cover sheet.	
139	239	130	Non-English specification	
147	247	2,520	For filing a request for reexamination	
112	212	920*	Requesting publication of SIR prior to Examiner action	
113	213	1,840*	Requesting publication of SIR after Examiner action	
115	215	110	Extension for reply within first month	
116	216	380	Extension for reply within second month	
117	217	870	Extension for reply within third month	
118	218	1,360	Extension for reply within fourth month	
128	228	1,850	Extension for reply within fifth month	
119	219	300	Notice of Appeal	
120	220	300	Filing a brief in support of an appeal	
121	221	260	Request for oral hearing	
138	238	1,510	Petition to institute a public use proceeding	
140	240	110	Petition to revive - unavoidable	
141	241	1,210	Petition to revive - unintentional	
142	242	1,210	Utility issue fee (or reissue)	
143	243	430	Design issue fee	
144	244	580	Plant issue fee	
122	222	130	Petitions to the Commissioner	
123	223	50	Petitions related to provisional applications	
126	226	240	Submission of Information Disclosure Stmt	
581	281	40	Recording each patent assignment per property (times number of properties)	
146	246	790	Filing a submission after final rejection (37 CFR 1.129(a))	
149	249	790	For each additional invention to be examined (37 CFR 1.129(b))	

Other fee (specify) \_\_\_\_\_  
Other fee (specify) \_\_\_\_\_

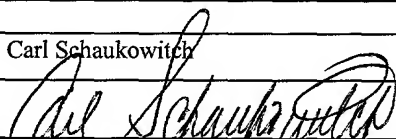
\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$)

### **SUBMITTED BY**

Typed or Printed Name Carl Schaukowitz

Signature



Date December 17, 2001

Complete (if applicable)

Reg. No. 29,211

Deposit Account User ID 18-0013